



Audit and Risk Management Committee

Date: TUESDAY, 16 JANUARY 2018
Time: 2.00 pm
Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members: Alderman Ian Luder (Chairman)
Alderman Nick Anstee (Deputy Chairman)
Randall Anderson
Alexander Barr
Chris Boden
The Rt. Hon. the Lord Mayor, Alderman Charles Bowman
Henry Colthurst
Hilary Daniels (External Member)
Alderman Peter Estlin
Deputy Jamie Ingham Clark (Ex-Officio Member)
Kenneth Ludlam (External Member)
Caroline Mawhood (External Member)
Paul Martinelli
Jeremy Mayhew (Ex-Officio Member)
Hugh Morris (Ex-Officio Member)

1 x vacancy

Enquiries: Julie Mayer
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PLEASE NOTE: A working lunch will be provided at 12.45 pm,
in the Committee Room - before the Risk Challenge Session at 1pm
NB: Part of this meeting could be the subject of audio or video recording

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes of the meeting held on 28 November 2017.

For Decision
(Pages 1 - 8)
4. **OUTSTANDING ACTIONS OF THE COMMITTEE**
Report of the Town Clerk.

For Information
(Pages 9 - 12)
5. **COMMITTEE WORK PROGRAMME**
Report of the Town Clerk.

For Information
(Pages 13 - 14)
6. **DATA PROTECTION BILL 2017**
Report of the Remembrancer.
This report provides an overview of the political debate and supplementary details on the Bill, further to the report of the Comptroller and City Solicitor at your last meeting.

For Information
(Pages 15 - 20)
7. **POSITION OF DEPUTY CHAIRMAN OF THE AUDIT AND RISK MANAGEMENT COMMITTEE: STANDING ORDER 29 (3)**
Report of the Town Clerk.

For Decision
(Pages 21 - 22)
8. **SUCCESSION PLANNING FOR EXTERNAL MEMBERS: TO APPOINT A NOMINATIONS SUB COMMITTEE OF THE AUDIT AND RISK MANAGEMENT COMMITTEE**
Report of the Town Clerk.

For Decision
(Pages 23 - 26)

9. **RISK MANAGEMENT UPDATE**

Report of the Chamberlain.

For Decision
(Pages 27 - 74)

10. **DEEP DIVE RISK REVIEWS**

- a) **Loss of Business Support for the City - CR02**
Report of the Director of Economic Development.

For Information
(Pages 75 - 84)

- b) **Police Funding- CR23**
Report of the Chamberlain.

For Information
(Pages 85 - 94)

11. **INTERNAL AUDIT RECOMMENDATIONS FOLLOW UP**

Report of the Head of Internal Audit and Risk Management.

For Information
(Pages 95 - 102)

12. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

13. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

14. **EXCLUSION OF THE PUBLIC**

RESOLVED: That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

15. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

16. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**